Application Form Please refer to Your Guide To Fill The Application Form (pages 11-12) before proceeding.

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Sundaram Asset Management

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SIP Enrolment and Auto Debit Form For investments through ECS (Debit Clearing)/Standing Instruction

Channel Partner / Agent Infor	rmation			Serial No: EQ
Agent's Name and ARN	Sub Broker ARN	code Sub Agent Code		nly
			EUIN 0 9 6 3 5 8	For Office Use Only
ARN-0018	ARN-931		0 9 0 3 3 6	
Transaction charges For Rs. 10,00	00 and above: IWe here	by confirm that the EUIN box has been intentionally left b Iding the advice of in-appropriateness, if any, provided b	nk by me/us as this is an "execution-only" transaction without any interaction or ac he employee/relationship manager/sales person of the distributor and the distribu	vice by the employee/relationship manager/sales person of the above distributor for has not charged any advisory fees on this transaction.
☐ Existing Investor-Rs.100 ☐ New	Investor-Rs. 150 Upfront comm	nission shall be paid directly by the investor to the AMFI-	gistered distributors based on the investors' assessment of various factors including	
Existing Investor Information (Please fill in your Fo details for your ongoing SIP, all you have to do is (1	olio No.) Please note that applicant 1) tick the box (2) fill in the foli	details and mode of holding will be as per existing number (3) fill the SIP Auto Debit Bank details	Folio Number. If you want to change your bank and (4) sign; highlighted by the symbol	
Name of First/Sole Applicant				
Permanent Account Number (PAN)			Completed ☐ Yes ☐ No Date of Birth	DDMMYYYY
Name of Guardian (in case of	First / Sole Applical	nt is a Minor) / Contact Pers	n – Designation (in case of non-individu	
Permanent Account Number (PAN)		KY	C completed ☐ Yes ☐ No Date of Birth	DDMMYYYY
Name of Second Applicant				
Permanent Account Number (PAN)		KY	Completed \square Yes \square No Date of Birth	D D M M Y Y Y
Name of Third Applicant	_			
Downson A Account Number (DANI)			Second to the Division of Birth	
Permanent Account Number (PAN)	:II:		C completed Yes No Date of Birth	
□ National Securities Depository		mat option, may provide a copy of a articipant Name	e DP Statement enabling us to match the Demat d	etails as stated in the application form)
☐ Central Depository Services (Inc			Beneficiary Account Number	
If Micro SIP, please tick	Yes (Micro SIP: Less than Rs	5 50,000 per person in any rolling 12-month pe	od) Declaration: I/We • having read and understood the	contents of the Statement of Additional Information/Scheme
If Micro SIP, supporting documen	nt/identification number	:	conditions, rules and regulations of the scheme • agree to been induced by any rebate or gifts, directly or indirectly i	he terms and conditions for Auto Debit • have not received nor n making this investment • do not have any existing Micro SIPs
Fund Name			which together with the current application will result in the holder has disclosed to me/us all the commissions (in the	contents of the Statement of Additional Information/Scheme cated in the application form • agree to abide by the terms, the terms and conditions for Auto Debit • have not received nor 1 making this investment • do not have any existing Micro SIPs be total investments exceeding Rs. 50,000 in a year. The ARN orm of trail commission or any other model, payable to him for om amongst which the Scheme is being recommended to me/us.
Plan ☐ Regular ☐ Direct			Applicable to NRIs only: Please (✓) ☐ I/We confirm that	am/We are Non-Resident of Indian Nationality/Origin and
Option ☐ Dividend Payout ☐ Dividend Sweep	☐ Dividend Re-☐ Growth	Investment		have been remitted from abroad through normal banking al / Ordinary Account / FCNR Account on a Repatriation
Each SIP Amount R			Basis Non-Repatriation Basis.	D D M M Y Y Y Y
☐ Weekly (Mir	nimum amount Rs 1000		▲ Si	gnature
SIP Frequency) Minimum No of installments 20 50 Minimum No of installments 7		
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SIP Period D D M M Y	Y Y D D M M Y	3 1 1 2 2 0 3	Applicant /	
(*The date may be taken as 31/12/2031 in case the SIP Date for Monthly/Quarte			Guardian	
► SIP Auto Debit: Bank A		1	-	
Name of Account Holder (as in bank				
	k account)		Second	
Name of the Bank			Applicant	
Branch & City				
Branch Address				
Account Number				
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Authorisation & Signat				
To, The Branch Manager,			of Bank) Bank Account Number	
			t Credit / Standing Instruction and that my payment to ying this ECS (Debit Clearing) / Direct Debit / Standing I	vards my investment in Sundaram Mutual Fund shall nstruction mandate Form to get it verified & executed.
I/We hereby declare that the particulars givexpress my willingness to make payment participation in ECS (Debit Clearing) / Direct I If the transaction is delayed or not effected at a or incorrect information, I/We would not responsible. I/We will also inform Sundaram Asset Management Company Limited, about account. I/We have read and agreed to mentioned overleaf.	ven above are correct and its referred above thorugh Debit / Standing Instruction. all of reasons of incomplete hold the user institution in Mutual Fund / Sundaram tany changes in my back	First / Sole Applicant / Gua		Third Applicant

Guide to investing through Systematic Investment Plan (SIP)

With effect from August 01, 2009 PAN not mandatory for Micro SIP

Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is less than Rs 50,000 per investor in any rolling 12-month period or in a financial year. This exemption is also applicable to other purchase transactions if the amount, including SIPs is less than Rs 50,000 in any rolling 12-month period or in a financial year per investor per mutual fund.

Investors falling under this category must produce a copy of any one of the specified photo identification documents instead of PAN. Supporting document must be current and valid. Supporting document copy shall be self attested by the investor / attested by the ARN holder mentioning the ARN number. While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered for all subsequent investment through Micro SIP in that folio and therefore need not resubmit the supporting document.

List of Specified Photo Identification Documents

- 1. Voter Identity Card
- Driving License
- 3. Government / Defense identification card
- 4. Passport
- 5. Photo Ration Card
- Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
- Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company affairs http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty&mo de=31)
- 8. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
- 9. ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
- 10. Senior Citizen / Freedom Fighter ID card issued by Government.
- Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI
- Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).
- Any other photo ID card issued by Central Government/ State Governments/ Municipal authorities/ Government organizations like ESIC/ EPFO

Eligibility for Exemption: This exemption will be applicable only to investments made by individuals including minors, joint holders, sole proprietary entities and NRIs but not PIOs. HUFs and other categories will not be eligible for this exemption. The exemption will be available where the total amount of installments under SIP in a rolling 12-month period or in a financial year i.e. April to March, does not exceed Rs 50,000. This exemption is also applicable to other purchase transactions if the amount, including SIPs, is less than Rs 50,000 (aggregate under all the schemes of Sundaram Mutual) in any rolling 12-month period or in a financial year per investor. The Registrar & Transfer Agents (RTA) – Sundaram BNP Paribas Fund Services Limited will adopt the procedures prescribed by Association of Mutual Funds in India from time to time in this regard.

Transactions not covered: If the Supporting Documents are not submitted or once the cumulative investment exceeds Rs 50,000 in a rolling 12 month period / financial year the Investor will be required to comply with the procedures relating to PAN/KYC norms as applicable to investments under other categories, failing which the application for investment will be rejected.

Read before enrolling for an SIP

- SIP facility is available for scheme covered in the KIM.
- First-time investors must use SIP Application Form and existing investers in the scheme of Sundaram Mutual must use SIP Enrollment/Renewal Form.
- SIP investment can be made on any stock market working day. An investor must choose specific
 dates of the month by Auto debit.
- SIP Application Form and SIP Renewal Form must be completed in English using block letters and submitted at offices of Sundaram Asset Management or Customer Care Centres of SBNPFS. Please do not submit SIP forms to collection banks.
- Investor can start a SIP by providing SIP application / SIP Auto debit form, Electronic Clearing Service (ECS) mandate and KYC acknowledgement. Investors are also required to submit a cancelled cheque or a photocopy of your cheque leaf to ensure that the bank account details are captured accurately. However, investor may continue to provide cheques for the SIP installments if he so desires.
- SIP dates: 1, 7, 14, 20 or 25 of the month.
- Only one installment per month is allowed under one SIP registration.
- Minimum investment amount: Rs.1,000/-
- The cheque should be drawn in favour of the fund name and crossed "a/c payee only". It should
 be made payable at the location of the branch of Sundaram Asset Management or Customer
 Care Centres of SBNPFS. On receipt of the SIP Auto Debit Form the Registrar and Share Transfer
 Agent SBNPFS will send a letter to the unit holder confirming that his or her name has been
 enrolled for the SIP.
- Units will be allotted on the above applicable dates. In case the date is a Non-Business Day, the
 immediate next Business Day will be considered for the purpose of determining the applicability of
 NAV.
- A consolidated account statement (CAS)^ for each calendar month to the Unit holder(s) in whose
 folio(s) transaction**(s) has/have taken place during the month shall be sent on or before 10th of the
 succeeding month by mail/e-mail.
 - **^Consolidated Account Statement (CAS)** shall contain details relating to all the transactions** carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor.
 - **The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions.

In case of a specific request received from the Unit holders, the AMC/Mutual Fund will provide the account statement to the investors within 5 Business Days from the receipt of such request.

For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.

- Investors should, as far as possible, opt to receive account statement by e-mail to make a
 contribution to the environment.
- Investors can opt for the facility of 'till further notice' for SIP through ECS/Direct Debit by ticking the appropriate box in 'Application Form' and 'SIP Enrollment and Auto Debit form'. The date may be taken as 31/12/2031 in case the bank needs to input a specific date in their system. Investor will have the right to discontinue the SIP facility at any time by sending a written request to offices of Sundaram Asset Management or Customer Care Centres of R&T. This request notice should be received at least 21 days prior to the due date of the next payment. On receipt of such request, the instructions for the auto debit facility would also be deactivated.
- The SIP Enrolment and Auto Debit form can also be used to change bank details to an ongoing SIP. Please tick the box indicated, fill in the folio number alongside, proceed to fill up the details under SIP Auto Debit: Bank Account Details, and sign.
- In case of Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) registered under the Regular Plan prior to 01/01/2013 without broker code, the installments falling on or after 01/01/2013 will automatically be processed under the Direct Plan.
- In case of SIP/STP registered under the Regular Plan prior to 01/01/2013 with broker code, the installments falling on or after 01/01/2013 will continue to be processed under the Regular Plan.
- Investors, who had registered for SIP/STP prior to 01/01/2013 with distributor code and wish to
 continue the same under the Direct Plan after 01/01/2013, shall make a written request to the
 Fund in this behalf. The Fund will take at least 21 working days to process such requests.
 Intervening installments will continue in the Regular Plan.

SIP Auto Debit facility: Terms & Conditions

- This facility is offered using RBI's Electronic Clearing Service (ECS). By opting for this facility, you agree to abide by the terms and conditions of the ECS facility of Reserve Bank of India available at www.rbi.gov.in and www.sundarammutual.com.
- To avail this facility you must submit SIP Auto Debit form with a cancelled cheque. You must also indicate 9-digit MICR number available in your cheque leaf.



- There shall be a gap of at least 30 days between the dates of the initial investment and the first SIP auto-debit installment for a monthly SIP.
- The following documents may be submitted at least 30 days before the first SIP date:

New Investors	Existing Investors
SIP Application Form	SIP Enrolment/Renewal Form
SIP Auto Debit Facility	SIP Auto debit facility form
Cancelled cheque or copy of your cheque leaf	

- Your Bank Branch through which you want your SIP Auto Debit to take place should participate
 in local MICR Clearing.
- If investor mentioned the At Par MICR number for ECS i.e. MICR starting with 000 or ending 000, investor should mention the branch name and city name of bank particulars column.
- In case your bank decides to cross-verify the ECS auto-debit mandate with you as the bank's customer, you will have to complete the process.
- An investor who opts for this facility will recieve an acknowledgement of registration for Auto Debit.
- The amount of the first cheque need not correspond to the value indicated for each SIP installment using Auto Debit facility. The SIP installments will be for the same amount.
- Auto Debit of the SIP amount will usually be processed on the date chosen by you or the next
 working day. SIP is available only on select dates of a month: 1, 7, 14, 20 and 25.
- Auto Debit of SIP will start after 30 days from the date of SIP Application.
- Pursuant to RBI guidelines on Electronic Clearing Service (ECS), the process stated below shall be
 followed for ECS rejections pertaining to investments in the schemes through Systematic Investment
 Plan (SIP):
 - a. If the rejection of ECS is for the reason "account closed", no re-presentation shall be made and this will lead to closure of SIP;
 - b. If the rejection of ECS is for the reason "insufficient funds", only one subsequent representation shall be made. Rejection of the subsequent representation will lead to closure of SIP;
 - c. If the rejection of ECS is for any other reason, only two subsequent re-presentations shall be made. Rejection of the two subsequent re-presentations will lead to closure of SIP.
- Sundaram Muíual Fund, its Investment Manager, Registrar and other service providers will not be liable:
 - For any transaction failures due to the refusal of the bank to register the SIP mandate or rejection of the transaction by your bank / branch.
 - If the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
 - For any damages / compensation for any loss/damage, to name few, incurred by you as a
 result of use of this facility by you.
- Sundaram Mutual Fund holds the right to reject application forms with incomplete information regarding SIP preferences. Such applications along with cheques will be returned to the investor with a communication.
- Cities in which ECS facility is available may be modified / updated / changed / removed entirely
 at the discretion of Sundaram Mutual Fund without assigning any reasons or prior notice.



Application Form-Systematic Transfer Plan

Channel Partner / Agent Informatio	า													No: E	Q				
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New Investor Information																			
Name of First/Sole Applicant																			
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PIN Agreement & Email ID To Transact Online & Receive E-Mail Account Statement

Please read the terms & conditions of PIN on this page before signing the application form. PIN will be sent to all new investors along with account statement Existing investors: As a one-time exercise, please fill this form and call Toll Free 1800 103 7237 (India) 044 49057300 (NRI) to enable our service team pick up the form

A Personal Identification Number – PIN – is a must for effective and speedy transacting online in a mutual fund's products. Do you have one? If you wish to receive a PIN, you can read the PIN agreement attached to this communication and sign at the designated place. On receipt of the same, a PIN will be sent to you at the postal address noted in our records. The PIN will help an investor use the Invest Online facility at www.sundarammutual.com to buy, sell and switch units, to name a few services. The PIN is a vital requirement to do online investment transactions in a safe, secure and convenient way.

We also offer the facility of sending Account Statements by email. In case you wish to do so, please furnish your Email ID and sign at the appropriate place in the attached document.

We do encourage you to use a PIN to execute your transactions online and sign up for sending the Account Statements by email as by increasing the share of paperless transactions in the economy you will be making a vital contribution to a cleaner and healthier environment.

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Agreement for Personal Identification Number – PIN

This Agreement between Sundaram Mutual Fund having its office at 46 Whites Road, II Floor, Chennai - 600014 (hereinafter referred to as "the Fund") and the investor (whose details are available in this agreement form hereinafter referred to as "the unit holder") (hereinafter referred to as the Agreement). The date of this agreement is indicated in the panel on Investor Information in this form. WITNESSTH:

WHEREAS: The Fund and the Unit holder have entered into a Unit Purchase Agreement, whereby the Unit holder has agreed to purchase units in the Fund;

AND WHEREAS: The Fund, through its Registrar and Transfer Agent (hereinafter referred to as "Registrar") offers the facility of a Personal Identification Number (hereinafter referred to as "PIN") for convenience of unit holders

AND WHEREAS: The unit holder has indicated to the Fund that he/she/it wishes to avail of the PIN facility offered by the Fund, subject to the terms and conditions stated in the application form and has for this purpose, indicated to Fund the name of his/her/its Bank and Branch Account Number;

AND WHEREAS: The Fund is willing to extend the PIN facility to the Unit holder on the terms and conditions as set out herein;

Now THEREFORE, in consideration of the mutual understanding as set forth in this Agreement, the parties hereto have agreed to the following terms and conditions:

The PIN granted to the Unit holder pursuant to this Agreement shall be communicated to the Unit holder by a reliable courier and entirely at the risk of the Unit holder.

The following shall be the mode of operation of the PIN facility:

- a If the unit holder is a company, an institution/ trust or body corporate , the authorised signatories may designate any individual as designated person and his personal details should be submitted to the Registrar
- b If the mode of payment is the first holder only, PIN facility will be made available to the first holder
- c If the mode of operation is joint, all the unit holders may designate only one of them to operate this facility. In such case, the personal details of the such a person shall be submitted to the registrar
- d If the Unit holder is a minor, then the Natural Guardian or the Guardian appointed by the Court alone shall be eligible to operate the PIN facility. In such a case the personal details of the Guardian shall be submitted to the Registrar.

The Unit holder may access the following services through the Internet, using the PIN facility: (a) purchase including switch in (b) redemption including switchout (c) Access to NAV Information (d) Access to balance Information (e) Ability to print Account Statements in a client-independent standard format (f) Links to the other Internet / web-sites of the Fund (g) Ability to email service requests to the Transfer Agent.

The Fund will take best efforts to keep the Fund's web-site updated on a daily basis so as to provide most current information to unit holders. However, the Unit holder agrees that because of communications issues, it is possible that the site may not be current on occasions. The Unit holder also agrees that the look and feel of the Web screen and outputs therefrom may differ based on the nature of the software used by the Unit holder to browse the site. The Fund agrees to take best efforts to protect security of the data placed on the Internet and has for this purpose, required the web service provider engaged by the Registrar to sign a confidentiality agreement with the Registrar specifying confidentiality of the data and to restrict external access to the database on the Internet. The Registrar shall ask the Unit holder for his / her / its name and Folio Number , address or such particulars to ensure the authenticity before accepting instructions on behalf of Fund.

The Registrar may, in the interest of the Unit holder, request a fax confirmation on the instructions received and shall not act until the said fax confirmation and additional confirmation is received

For detailed PIN Agreement, visit: www.sundarammutual.com

from the Unit holder. The Unit holder shall be responsible for safekeeping of the PIN and shall not disclose his / her / its PIN to any person and shall take all possible care to prevent discovery of the PIN by any person. The Unit holder shall not use his / her / its PIN after the disclosure of the same to any third party.

In the event of accidental disclosure of the PIN to any third party or if the unit holder forgets his password, the Fund may at the request of the unit holder and at its absolute discretion issue to the Unit holder a new PIN on these terms and conditions or under such terms and conditions, as the Fund may deem fit. The Unit holder shall be fully liable to the Fund for every transaction entered into using the PIN facility, whether with or without the knowledge of the Unit holder. The Unit holder hereby releases the Fund and the Registrar from any liability whatsoever against missuse of the PIN. Further, neither the Fund nor the Registrar shall be liable at all for any misuse if any, of any data placed on the Internet, by third parties "hacking" or unauthorisedly accessing the server. The Unit holder shall at all times indemnify the Fund, its officers, employees, successors and assigns from and against all actions, proceedings, claims and demands whatsoever for or on account of or in relation to any unauthorised use of the PIN and from and against all damages, costs, charges and expenses in respect thereof, unless the same is caused by gross negligence, bad faith or wilful default on the part of the Fund.

The Unit holder acknowledges and agrees that Sundaram Investment Manager/Fund and or its Registrars or the Service Providers does not guarantee or warrant that Unit holder will not experience any downtime or disruptions in its use of the Service. In the event of any such downtime or disruption, the Investment Manager/Fund or its Registrar or its service providers shall use commercially reasonable efforts to reinstate accessibility and operability. Investment Manager/Fund or its Registrar or its service providers may restrict, suspend, or terminate investor's access to and use of the Service at any time, without notice or liability. The Unit holder agrees that its right to use the Service is non-exclusive, revocable, non-transferable, and non-sublicense able.

The Unit holder agrees to be bound by any terms of use, disclosures, or disclaimers displayed by the Investment Manager/Fund/ Registrar and its service providers and any that Unit holder may affirmatively click through to accept. The investor's use of the Services shall be subject to the terms and conditions of any applicable agreements between Registrar, Service Providers and the Investment Manager/Fund and/or investor.

Unit holder shall not: (A) access or use the Service for any purpose inconsistent with the substance and terms of this Agreement; (B) introduce into the Service any code, virus, or mechanism that would impair the Service or Investment Manager's/Fund system, computers or software; (C) use the Service to gain unauthorized access to any system or database; (D) sublicense or otherwise transfer or make available Investor's access to the Service to any third party that is not an Authorized Person (E) disclose to any third party non-public information relating to the content or operation of the Service, which information is confidential and proprietary to the Investment Manager/Fund; or (F) remove or obscure any of Investment Manager's/Fund or Registrars or any Service Provider's trademarks, service marks, or markings of copyright or patent rights contained in the Service. In the case of any disputes, the matter will be referred to arbitration at the first instance and settled through arbitration proceedings as per the rules of the Indian Arbitration and Conciliation Act, 1996. The Place of Arbitration will be at Chennai. The Courts in Chennai shall have jurisdiction over all disputes arising out of or in respect of this Agreement.

In Witness Whereof, the parties to this Agreement have caused these presents to be executed as of the day and year first above written.

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	Signature		Signed for and on behalf of Fund
First Applicant	Second applicant	Third Applicant	

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